



**Department  
of State**

**2017 ANNUAL REPORT:  
Community Services Block Grant Program**

*PURSUANT TO SECTION 159-N OF THE EXECUTIVE LAW*

**FOR SUBMISSION TO:  
THE GOVERNOR AND THE LEGISLATURE**

**April 23, 2018**

**Andrew M. Cuomo  
Governor**

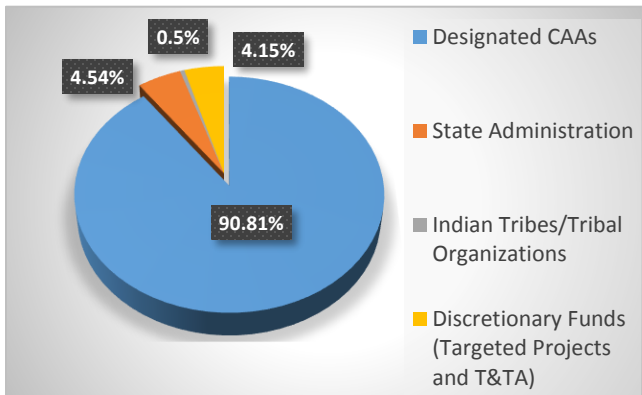
**Rossana Rosado  
Secretary of State**

## **INTRODUCTION**

In compliance with section 159-n of the Executive Law, the New York State Department of State (“DOS”) respectfully submits this annual report regarding the Community Services Block Grant (“CSBG”) program. As the CSBG administering agency for New York State, DOS, by and through its Division of Community Services (“DCS”) establishes goals and objectives to guide program evaluation, monitoring, and oversight. This report summarizes the accomplishments of the CSBG program and the actions taken to regulate the network of CSBG providers throughout Federal Fiscal Year (“FFY”) 2017, which commenced on October 1, 2016 and concluded on September 30, 2017.

## **DISTRIBUTION OF CSBG FUNDING**

The Federal CSBG Act requires New York State to distribute at least 90% of its annual \$61,223,318 federal allocation of CSBG funding directly to designated Community Action Agencies (“CAAs”) in specific proportionate share amounts (see 42 USC § 9907). Five percent of New York’s Federal CSBG allocation may be used for the State’s administration of the program. Unlike prior years, in FFY 2017 the State opted to increase the allocation to designated CAAs to 90.81% (\$55,594,955) and reduce the amount used for State administration to 4.15% (\$2,539,757).



This decision was made to address a late year cut in the overall allocation that would have negatively affected the CAAs. The increase provided the CAAs with the same level of funds as FFY 2016. State administrative funds supported personal service expenses for monitoring staff, as well as non-personal service expenses such as travel, training, equipment purchases and general office operations. Remaining administrative funds exist due to attrition and cost saving factors and do not affect current

operations of the program.

Of the remaining funds from the FFY 2017 CSBG allocation, 4.54% (\$2,782,489) was used to support innovative initiatives in workforce development, provide vital services for low income new Americans, establish pilot projects to reunify citizens re-entering the community from incarceration, and deliver important trainings and technical assistance throughout the CAA network. Finally, one-half of one percent (\$306,117) of New York’s annual CSBG funding was provided to 3 Indian tribes and Indian tribal organizations, as required by New York State Executive Law §159-i.

Appendix A provides a detailed breakdown of the distribution of funds by CAA and Indian tribes/tribal organization during the period covered by this report.

**THE CSBG NETWORK: PROGRAM AND FISCAL OVERSIGHT**

Routine monitoring of all CSBG-funded entities is conducted by DOS staff. Table 1 provides a snapshot of the monitoring activities conducted by DOS in association with quarterly on-site visits to CAAs and other CSBG-funded grantees during FFY 2017. These activities include attendance at board meetings, review of board related documents, program and fiscal monitoring, and assistance in the development of work plans and required reports.

<b>Table 1. Oversight Activities</b>	<b>FFY 2017</b>
On-site visits, board reviews, program and fiscal assessments, contract assistance, and annual desk review for compliance with organizational standards.	428
Training and technical assistance including compliance with new national standards which encompass planning, governance, human resource, fiscal management, data management, and service delivery.	127 (All 49 grantees and 3 Indian Tribes received assistance multiple times)
Triennial reviews conducted (TRACS)	15

DOS offers all CSBG-funded entities opportunities for training and technical assistance. Technical assistance needs are often identified during the contracting process, monitoring reviews, or during a triennial assessment. In addition to the training and technical assistance provided directly by DOS staff, grantees are also offered training and technical assistance through the State’s contract with the New York State Community Action Association (NYSCAA). A major focus for technical assistance in FFY 2017 continued to be compliance with national standards instituted by US Department of Health and Human Services (HHS) in FFY 2016 and preparation for changes in reporting and data collection requirements that will take effect in FFY 2018.

Federal law requires New York State to conduct one full on-site review of each designated CAA at least once every three years (see 42 USC § 9914). DCS developed the Triennial Review and Assessment for Compliance with Standards (“TRACS”) tool for this purpose. Based in part on the new Federal organizational standards, this triennial review process focuses on the areas of planning, community partnerships and involvement, governance and organizational leadership, personnel administration, fiscal and internal controls, and data collection and reporting. Included within the review are written recommendations intended to promote feedback and continuous improvement. All DCS recommendations made during the TRACS are ultimately consolidated into a Quality Improvement Plan (“QIP”) developed by DCS and the subject CAA.

**REPORTING PERFORMANCE OUTCOMES**

The community action network continues to work diligently to improve the lives of our State’s most vulnerable residents. Through a network of 49 designated CAAs, a range of services is provided to meet the needs of low income New Yorkers in all of New York’s 62 counties.

Annually, DOS compiles and reports data demonstrating the service delivery efforts of the CAA network in New York State to the Federal Government via the CSBG Information Survey (“IS”). This system for reporting is administered by the National Association for State Community Services Programs (“NASCS”) and supported by the HHS Office of Community Services (“OCS”). To view the most recent report providing an overview of New York State’s CSBG-funded services, outcomes and accomplishments, as compiled from data reported in 2016, please see *State CSBG Factsheets—New York*, available online at:

[http://www.nascsp.org/data/files/csbg\\_publications/fact%20sheets%20final%202016/csbg\\_stfactsheets\\_draft\\_fy15\\_master\\_f\\_ny.pdf](http://www.nascsp.org/data/files/csbg_publications/fact%20sheets%20final%202016/csbg_stfactsheets_draft_fy15_master_f_ny.pdf).

The results of the State’s annual CSBG outcome reporting are also summarized below.

The CSBG network in New York State provided services to 705,509 low-income individuals. Of these, 317,353 were children, 52,627 were seniors, and 46,406 were people with disabilities. Table 2 below presents the New York network’s key performance outcomes as reported in FFY 2016, sorted by federally required categorical indicators of movement toward self-sufficiency and community revitalization.

<b>Table 2: Performance Outcomes</b>		
<b>Service Category/ Outcomes</b>	<b>Description</b>	<b>Persons Served</b>
Child and Family Development	Infants, children, youth, parents, and other adults participated in development programs facilitated by the CSBG network and achieved program goals	245,854
Community Empowerment	Community members were mobilized to engage in activities that support and promote their own well-being and that of their community as a direct result of CSBG network initiatives	46,416
Community Opportunities and Resources	Community opportunities and/or resources were improved or expanded as a result of projects or initiatives, or advocacy with other public and private agencies	25,377
Economic Asset Enhancement and Utilization	Households achieved an increase in financial assets or skills	14,411
Emergency Assistance	Individuals and families received emergency assistance	309,600
Employment/Work Supports	Participants in the CSBG network’s employment initiatives obtained support which reduced or eliminated barriers to employment, secured a job, obtained an increase in employment income, or achieved "living wage" employment and benefits	358,634
Family Stability Support	Participants obtained supports which reduced or eliminated barriers to family stability	52,675
Independent Living for Low Income Vulnerable Populations	Low income vulnerable individuals received services from the CSBG network and secured or maintained an independent living situation as a result	36,750

**RECOMMENDATIONS TO AMEND EXECUTIVE LAW ARTICLE 6-D**

The Department supports the Executive Budget’s elimination of the State requirement that CAAs provide local match of 25%, by repealing Executive Law section 159-j. The CSBG program in New York State is 100% federally funded, with no additional appropriation of State funds. The Community Services Block Grant Act (see 42 USC § 9901, et seq.) does not require administering states to impose a mandate for “local share” funding by recipient entities.

**APPENDIX A**

**DISTRIBUTION OF FUNDS TO CAA GRANTEES for FFY 2017**

<b>County/ Area Served</b>	<b>Eligible Entity Contact Information</b>	<b>FFY 2017 Final Allocation</b>
Albany	<p align="center">Albany County Opportunity, Inc. 333 Sheridan Avenue Albany, NY 12206 Telephone: 518-463-3175 www.albanycap.org Ms. Neenah Bland, Executive Director</p>	\$255,310
Allegany	<p align="center">Allegany County Community Opportunities and Rural Development, Inc. 84 Schuyler Street Belmont, NY 14813 Telephone: 585-268-7605 www.accordcorp.org Ms. Lesley Gooch-Christman, Executive Director</p>	\$229,440
Broome	<p align="center">Opportunities for Broome, Inc. 5 West State Street Binghamton, NY 13901 Telephone: 607-723-6493 www.ofbonline.org Mr. Mark Silvanic, Chief Executive Officer</p>	\$345,543
Cattaraugus	<p align="center">Cattaraugus Community Action, Inc. 25 Jefferson Street Salamanca, NY 14779 Telephone: 716-945-1041 www.ccaction.org Ms. Tina Zerbian, Chief Executive Officer</p>	\$234,101
Cayuga and Seneca	<p align="center">Cayuga/Seneca Community Action Agency, Inc. 89 York Street, Suite 1 Auburn, NY 13021 Telephone: 315-255-1703 www.cscaa.com Ms. Laurie A. Piccolo, Executive Director</p>	\$406,180
Chautauqua	<p align="center">Chautauqua Opportunities, Inc. 17 West Courtney Street Dunkirk, NY 14048 Telephone: 716-366-3333 www.chautauquaopportunities.com Mr. Franklin Monjarrez, Executive Director</p>	\$234,101

Chemung and Schuyler	Economic Opportunity Program, Inc. of Chemung County 650 Baldwin Street Elmira, NY 14901 Telephone: 607-734-6174 www.cseop.org Ms. Andrea J. Ogunwumi, CEO/Executive Director	\$406,180
Chenango	Opportunities for Chenango, Inc. 44 West Main Street Norwich, NY 13815 Telephone: 607-334-7114 www.ofcinc.org Mr. Mark Silvanic, Executive Director	\$234,101
Clinton and Franklin	Joint Council for Economic Opportunity of Clinton and Franklin Counties, Inc. 54 Margaret Street Plattsburgh, NY 12901 Telephone: 518-561-6310 www.jceo.org Mr. Bruce Garcia, Chief Executive Officer	\$505,328
Columbia	Columbia Opportunities, Inc. 540 Columbia Street Hudson, NY 12534 Telephone: 518-828-4611 www.columbiaopportunities.org Ms. Tina M. Sharpe, Executive Director	\$234,101
Cortland	Cortland County Community Action Program, Inc. 32 North Main Street Cortland, NY 13045 Telephone: 607-753-6781 www.capco.org Ms. Lindy Glennon, Executive Director	\$234,101
Delaware	Delaware Opportunities, Inc. 35430 State Highway 10 Hamden, NY 13782 Telephone: 607-746-1600 www.delawareopportunities.org Ms. Janelle Montgomery, Acting Executive Director	\$234,101
Dutchess	Community Action Partnership for Dutchess County, Inc. 77 Cannon Street Poughkeepsie, NY 12601 Telephone: 845-452-5104 www.dutchesscap.org Ms. Elizabeth C. Spira, Chief Executive Officer	\$446,408

Erie	Community Action Organization of Erie County, Inc. 45 Jewett Avenue, Suite 150 Buffalo, NY 14214 Telephone: 716-881-5150 www.caoec.org Mr. L. Nathan Hare, President/CEO	\$2,028,260
Essex	Adirondack Community Action Programs, Inc. 7572 Court Street, Suite 2 Elizabethtown, NY 12932 Telephone: 518-873-3207 www.acapinc.org Mr. Alan Jones, Executive Director	\$234,101
Fulton and Montgomery	Fulmont Community Action Agency, Inc. 20 Park Street, PO Box 835 Fonda, NY 12068 Telephone: 518-853-3011 www.fulmont.org Mr. Denis E. Wilson, Sr., Executive Director	\$352,005
Greene	Community Action of Greene County, Inc. 7856 Rt. 9W Catskill, NY 12414 Telephone: 518-943-9205 www.cagcny.org Ms. Florence Ohle, Executive Director	\$234,101
Jefferson	Community Action Planning Council of Jefferson County, Inc. 518 Davidson Street Watertown, NY 13601 Telephone: 315-782-4900 www.capcjc.org Ms. Melinda Gault, Executive Director	\$234,101
Lewis	Lewis County Opportunities, Inc. 8265 State Route 812 Lowville, NY 13367 Telephone: 315-376-8202 www.lewiscountyopportunities.com Mr. Scott Mathys, Chief Executive Officer	\$234,101
Livingston	Livingston County Board of Supervisors (Community Initiatives Council) 6 Court Street Geneseo, NY 14454 Telephone: 585-243-7047 www.co.livingston.state.ny.us/cic.htm Mr. Ryan Snyder, Director	\$229,440



Madison	Community Action Program for Madison County, Inc. 3 East Main Street, PO Box 249 Morrisville, NY 13408 Telephone: 315-684-3144 www.capmadco.org Ms. Antara Mitra, Executive Director	\$229,440
Monroe and Ontario	Action for a Better Community, Inc. 550 East Main Street Rochester, NY 14604 Telephone: 585-325-5116 www.abcinfo.org Mr. Jerome Underwood, President and CEO	\$1,722,602
Nassau	Economic Opportunity Commission of Nassau County, Inc. 134 Jackson Street Hempstead, NY 11550 Telephone: 516-292-9710 www.eoc-nassau.org Ms. Iris A. Johnson, Chief Executive Officer	\$2,277,765
Niagara	Niagara Community Action Program, Inc. 1521 Main Street Niagara Falls, NY 14305 Telephone: 716-285-9681 www.niagaracap.org Ms. Suzanne Shears, Chief Executive Officer	\$340,237
New York City	New York City Department of Youth and Community Development 2 Lafayette Street, 19th Floor New York, NY 10007 Telephone: 646-343-6710 www.nyc.gov/dycd Mr. Bill Chong, Commissioner	\$32,667,714
Oneida and Herkimer	Mohawk Valley Community Action Agency, Inc. 9882 River Road Utica, NY 13502 Telephone: 315-624-9930 www.mvcaa.com Ms. Amy Turner, Executive Director	\$640,281
Onondaga	People's Equal Action and Community Effort, Inc. 217 South Salina Street, 2nd Floor Syracuse, NY 13202 Telephone: 315-470-3300 www.peace-caa.org Mr. Joseph E. O'Hara, Executive Director	\$1,518,681



Orange	<p>Regional Economic Community Action Program, Inc.  40 Smith Street  Middletown, NY 10940  Telephone: 845-342-3978  www.recap.org  Mr. Charles R. Quinn, Chief Executive Officer</p>	\$537,196
Orleans and Genesee	<p>Community Action of Orleans and Genesee, Inc.  409 East State Street  Albion, NY 14411  Telephone: 585-589-5605  www.caoginc.org  Mr. Edward F. Fancher, Executive Director</p>	\$406,180
Oswego	<p>Oswego County Opportunities, Inc.  239 Oneida Street  Fulton, NY 13069  Telephone: 315-598-4717  www.oco.org  Ms. Diane Cooper-Currier, Executive Director</p>	\$229,440
Otsego	<p>Opportunities for Otsego, Inc.  3 West Broadway  Oneonta, NY 13820  Telephone: 607-433-8000  www.ofoinc.org  Mr. Daniel Maskin, Chief Executive Officer</p>	\$234,101
Rensselaer	<p>Commission on Economic Opportunity for the  Greater Capital Region, Inc.  2331 Fifth Avenue  Troy, NY 12180  Telephone: 518-272-6012  www.ceoempowers.org  Ms. Katherine Maciol, President/CEO</p>	\$234,101
Saratoga	<p>Saratoga County Economic Opportunity Council, Inc.  PO Box 169, 39 Bath Street  Ballston Spa, NY 12020  Telephone: 518-288-3206  www.saratogaecoc.org  Ms. Jo Anne Hume, Interim Executive Director</p>	\$234,101
Schenectady	<p>Schenectady Community Action Program, Inc.  913 Albany Street  Schenectady, NY 12307  Telephone: 518-374-9181  www.scapny.org  Ms. Debra L. Schimpf, Executive Director</p>	\$234,101

Schoharie	Schoharie County Community Action Program, Inc. 795 East Main Street, Suite 5 Cobleskill, NY 12043 Telephone: 518-234-2568 www.sccapinc.org Ms. Jeannette M. Spaulding, Executive Director	\$234,101
Steuben and Yates	Pro Action of Steuben and Yates, Inc. 117 East Steuben Street Bath, NY 14810 Telephone: 607-776-2125 www.proactioninc.org Ms. Laura Rossman, Executive Director	\$406,180
St. Lawrence	St. Lawrence County Community Development Program, Inc. One Commerce Lane Canton, NY 13617 Telephone: 315-386-1102 www.slccdp.org Ms. Norma S. Cary, Executive Director	\$234,101
Suffolk	Economic Opportunity Council of Suffolk, Inc. 31 West Main Street, Suite 300 Patchogue, NY 11772 Telephone: 631-289-2124 www.eoc-suffolk.com Mr. Adrian Fassett, President/Chief Executive Officer	\$1,274,498
Sullivan	Community Action Commission to Help the Economy, Inc. 63 South Main Street Liberty, NY 12754 Telephone: 845-292-5821 www.sullivancountycache.org Mr. Walter J. Stein, Executive Director <b>(NOTE: This grantee will not be funded in FFY 2018.)</b>	\$234,101
Tioga	Tioga Opportunities, Inc. 9 Sheldon Guile Boulevard Owego, NY 13827 Telephone: 607-687-4222 www.tiogaopp.org Ms. Maureen Abbott, Executive Director	\$234,101
Tompkins	Tompkins Community Action, Inc. 701 Spencer Road Ithaca, NY 14850 Telephone: 607-273-8816 www.tcaction.org Ms. Lee Dillon, Executive Director	\$234,101

Ulster	<p>Ulster County Community Action Committee, Inc.  70 Lindsley Avenue  Kingston, NY 12401  Telephone: 845-338-8750  www.uccac.org  Mr. Greg M. Howard, Executive Director</p>	\$234,101
Warren	<p>Warren-Hamilton Counties Action Committee  for Economic Opportunity, Inc.  190 Maple Street, PO Box 968  Glens Falls, NY 12801  Telephone: 518-793-0636  www.wahacaa.org  Ms. Lynn Ackershoek, Executive Director</p>	\$352,005
Washington	<p>Washington County Economic Opportunity Council, Inc.  383 Broadway, B003A  Fort Edward, NY 12828  Telephone: 518-746-2390  www.washingtoncountyeoc.org  Ms. Claire M. Murphy, Executive Director</p>	\$234,101
Wayne	<p>Wayne County Action Program, Inc.  159 Montezuma Street  Lyons, NY 14489  Telephone: 315-665-0131  www.waynecap.org  Mrs. Janelle Krasucki-Cooper, Chief Executive Officer</p>	\$234,101
Westchester	<p>Westchester Community Opportunity Program, Inc.  2 Westchester Plaza, Suite 137  Elmsford, NY 10523  Telephone: 914-592-5600  www.westcop.org  Mr. Donovan Beckford, Chief Executive Officer</p>	\$1,601,053
Wyoming	<p>Wyoming County Community Action, Inc.  6470 Route 20A, Suite 1  Perry, NY 14530  Telephone: 585-237-2600  www.wccainc.org  Ms. Sandy Smith, Acting Executive Director</p>	\$229,440
City of Yonkers	<p>Yonkers Community Action Program, Inc.  20 South Broadway, Suite 420  Yonkers, NY 10701  Telephone: 914-423-5905  www.yonkerscap.org  Ms. Linda Heyward, Executive Director</p>	\$462,330

Statewide Migrant & Seasonal Farm Worker Agency	PathStone Corporation 400 East Avenue Rochester, NY 0 Telephone: 585-340-3300 www.pathstone.org Mr. Stuart J. Mitchell, President & CEO	\$345,597
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**DISTRIBUTION OF FUNDS TO INDIAN TRIBES/TRIBAL ORGANIZATIONS**

<b>County/ Area Served</b>	<b>Contact Information</b>	<b>FFY 2017 Final Allocation</b>
St. Lawrence	Mohawk Indian Housing Corporation PO Box 402 Rooseveltown, NY 13683 Telephone: 518-358-4860 <a href="http://mohawkindianhousin.wixsite.com/apts">http://mohawkindianhousin.wixsite.com/apts</a> Ms. Mary Jo Terrance, Executive Director	\$77, 216
Suffolk	Poospatuck Indian Nation PO Box 86 Mastic, NY 11950 Telephone: 631-281-4143, Ext. 100 Ms. Thomasina Mack-Harrell, Trustee	\$77, 216
Erie/ Cattaraugus	Seneca Nation of Indians 12837 Route 438 Irving, NY 14081 Telephone: 716-532-4900 <a href="http://www.sni.org">www.sni.org</a> Mr. Todd Gates, Tribe President Ms. Paula Pichon, CSBG Contact Person	\$77, 216